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TRANSMITTAL FORM

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Application No.	10/815,330
Filing Date	3/31/2004
First Named Inventor	Dennis R. Berman
Art Unit	3714
Examiner Name	HU, KANG
Attorney Docket No.	TRV00-0001-R-1

Total Number of Pages in this Submission: 6

ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form
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<input type="checkbox"/> Statement under 37 CFR 3.73(b)
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Name	Hoyt A. Fleming III	Date	6/20/2007
Signature		Telephone	(208) 336-5237
Address	Park, Vaughan & Fleming LLP, P.O. Box 140678, Boise, ID 83714	Facsimile	(208) 342-5363

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Inventors: Dennis R. Berman
Title: LOCK-IN TRAINING SYSTEM
Filing Date: 3/31/2004
Serial Number: 10/815,330
Group Art Unit: 3714
Examiner: HU, KANG

On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each listed publication and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but

before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

- ☐ a certification as specified in § 1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
- B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ Statement under 37 C.F.R. § 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Name: Hoyt A. Fleming III
Reg. No.: 41,752

Signature: _____



Date: 6/20/2007

Park, Vaughan & Fleming LLP
P.O. Box 140678
Boise, ID 83714
(208) 336-5237

28422



INFORMATION DISCLOSURE CITATION

PTO-1449

Complete if Known

Application No.	10/815,330
Filing Date	3/31/2004
First Named Inventor	Dennis R. Berman
Group Art Unit	3714
Atty. Docket No.	TRV00-0001-R-1

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NO.	DATE MM-YYYY	NAME	CLASSIFICATION
	6,155,834	12-2000	New, III, Cecil A.	434/118

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NO.	DATE MM-YYYY	COUNTRY	CLASSIFICATION	Translation	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.